Proof Theory of Modal Logic

Lecture 1
Sequent Calculus and Modal Logic



Marianna Girlando

ILLC, Universtiy of Amsterdam

5th Tsinghua Logic Summer School Beijing, 14 - 18 July 2025

Practical information



Practical information



Who?
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Practical information



- Who?
 Marianna Girlando (m.girlando@uva.nl)
 Sisi Yang (yangss23@mails.tsinghua.edu.cn)
 Xin Li (lixin24@mails.tsinghua.edu.cn)
- When, where?5 lectures, 09:50-12:15, Room 5105, Teaching Building No. 5

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- Evaluation
 3 homework (each due before the next lecture), 60% final grade
 1 take-home exam (due on Sunday 20 July, 23:59), 40% final grade

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- Evaluation
 3 homework (each due before the next lecture), 60% final grade
 1 take-home exam (due on Sunday 20 July, 23:59), 40% final grade
- Material
 Annotated slides, uploaded daily on the course website

What is this course about?

Proof Theory of Modal Logic

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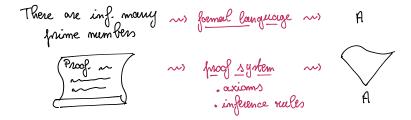
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Proof Theory of Modal Logic

Proof theory is the discipline studying proofs as mathematical objects.

"Ask three modal logicians what modal logic is, and you are likely to get at least three different answers." [Blackburn, de Rijke, Venema, 2001]

Modal languages are simple yet expressive languages for talking about relational structures.

Plan of the course

In this course, we will focus on sequent calculus, and explore various systems of sequent calculus for classical modal logics in the S5-cube.

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- Lecture 1: Sequent Calculus and Modal Logic
- Lecture 2: Nested Sequents
- Lecture 3: Labelled Proof Systems
- ▶ Lecture 4: Semantic Completeness of Labelled Calculi ↓
- ▶ Lecture 5: Beyond the S5-cube

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- Lecture 2: Nested Sequents
- Lecture 3: Labelled Proof Systems
- ▶ Lecture 4: Semantic Completeness of Labelled Calculi
- Lecture 5: Beyond the S5-cube

Credits: This course is based on a course taught at ESSLLI 2024, which was prepared and taught in collaboration with Tiziano Dalmonte (Free University of Bozen-Bolzano, Italy).

This lecture: Sequent Calculus and Modal Logic

- Gentzen's sequent calculus
 - ▶ G3-style sequent calculus ←
 - G3-style sequent calculus for modal logics

Gentzen's sequent calculus



Sequent calculus

Introduced by Gerhard Gentzen in [Gentzen, 1935]

- As an auxiliary tool for natural deduction normalization
- Used to prove decidability of intuitionistic propositional logic

() [Gentzen, 1936]: proof of consistency of Peano Arithmetic

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In sequent calculus, the basic components of proofs are not formulas (as in axiomatic systems or natural deduction), but sequents:

$$\Gamma \Rightarrow \underline{\Delta}$$

for Γ , Δ are (possibly empty, finite) lists/sets/multisets of formulas.

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for Γ , Δ are (possibly empty, finite) lists/sets/multisets of formulas.

A sequent can be thought of as expressing consequence relation: at least one formula in Δ follows from the assumptions in Γ .

Classical propositional logic (CPL)

Atm set of propositional atoms, $p \in Atm$

$$A ::= p \mid \bot \mid A \land A \mid A \lor A \mid A \rightarrow A$$

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Hilbert-style axiom system $\Gamma \vdash_{\mathcal{H}cp} A$

$$(A \land B) \rightarrow A$$

$$\bot \rightarrow A$$

$$\vdots$$

$$A \lor (A \rightarrow \bot) \text{ with the.}$$

Classical propositional logic (CPL)

Atm set of propositional atoms, $p \in Atm$

Semantics
$$\Gamma \models_{cp} A$$
 Propositional evaluation $\mathcal{A} : \underline{Atm} \longrightarrow \{0, 1\}$

$$\mathcal{A} \models p$$
 iff $\mathcal{A}(p) = 1$
 $\mathcal{A} \models A \lor B$ iff $\mathcal{A} \models A$ or $\mathcal{A} \models B$
:

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:

Theorem. $\Gamma \vdash_{\mathcal{H}cp} A$ if and only if $\Gamma \models_{cp} A$.



Sequent: $\Gamma \Rightarrow \Delta$, for Γ and Δ lists of formulas

Rules of G1cp:

$$\begin{array}{c} & \stackrel{\text{init}}{\square} \overline{\rho \Rightarrow \rho} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{i}, \Gamma \Rightarrow \Delta}{A_{1} \wedge A_{2}, \Gamma \Rightarrow \Delta} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{i}, \Gamma \Rightarrow \Delta}{A_{1} \wedge A_{2}, \Gamma \Rightarrow \Delta} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{1} \cap A_{2}, \Gamma \Rightarrow \Delta}{A_{2} \cap A_{2} \cap A_{2} \cap A_{2}} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{\Gamma \Rightarrow \Delta, A_{1} \vee A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{\Gamma \Rightarrow \Delta, A_{1} \vee A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{1} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{1} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{1} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in \{1,2\}}{\longrightarrow} \\ & \stackrel{\wedge^{i}}{\wedge} \frac{A_{2} \cap A_{2}}{A_{2} \cap A_{2}} \stackrel{i \in$$

Sequent:
$$\Gamma\Rightarrow\Delta$$
, for Γ and Δ lists of formulas

Rules of $\mathbf{G1cp}$:

 $A_i, \Gamma\Rightarrow\Delta$
 $A_i,$

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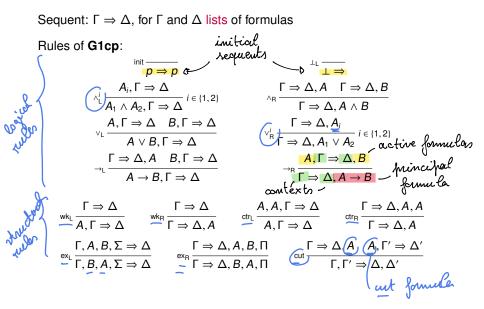
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Derivations

A derivation, or proof, of $\Gamma \Rightarrow \Delta$ in G1cp is a finite tree whose nodes are labelled with sequents, and such that:

- ▶ The root of the tree is labelled with $\Gamma \Rightarrow \Delta$
- ▶ The leaves are labelled with initial sequents $(p \Rightarrow p \text{ or } \bot \Rightarrow)$
- Each internal node is obtained from its children by the application of a rule of G1cp

The height of a derivation is the length of its maximal branch, minus 1.

We write $\vdash_{\mathsf{G1cp}} \Gamma \Rightarrow \Delta$ if there is a derivation of $\Gamma \Rightarrow \Delta$ in $\mathsf{G1cp}$.

Example

init
$$\frac{}{q \Rightarrow q}$$

$$\frac{w_{K_L}}{p, q \Rightarrow q}$$

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$$\frac{w_{K_L}}{q, p \Rightarrow p}$$

$$\frac{p, q \Rightarrow q \land p}{p, q \Rightarrow q \land p}$$

$$\frac{e_{X_L}}{p, q \Rightarrow q \land p}$$

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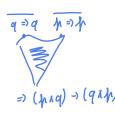
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Soundness and completeness

$$i(\Gamma \Rightarrow \Delta) = (\bigwedge \Gamma \rightarrow (\bigvee \Delta))$$

Theorem (Soundness). If
$$\vdash_{\mathsf{G1cp}} \Gamma \Rightarrow \Delta$$
 then $\vdash_{\mathcal{H}\mathsf{cp}} i(\Gamma \Rightarrow \Delta)$.

Proof sketch. By showing that the initial sequents are derivable in the Hilbert system \mathcal{H} cp, and the rules of **G1cp** preserve derivability in \mathcal{H} cp.

Theorem (Completeness). If $\Gamma \vdash_{\mathcal{H}cp} A$ then $\vdash_{\mathbf{G1cp}} \Gamma \Rightarrow A$.

Proof sketch. By deriving the axioms and simulating the rules of \mathcal{H} cp.

Soundness and completeness

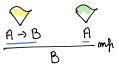
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Soundness and completeness

$$\lambda (h, q \Rightarrow \pi, \Delta) = (h \wedge q) \rightarrow (\pi \vee \Delta) \qquad \frac{\Gamma \Rightarrow \Delta (A \otimes B, \Gamma \Rightarrow \Delta)}{A \rightarrow B, \Gamma \Rightarrow \Delta}$$

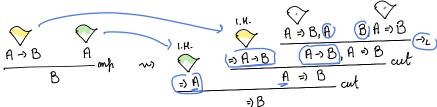
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Derivable, admissible, eliminable rule

$$R \not\in SC$$

SC sequent calculus (set of rules) and R rule ($n \ge 0$):

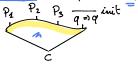
 $R \xrightarrow{P_1 \dots P_n} C$

R is derivable: There is a derivation of C in SC such that every leaf of the tree is labelled with an initial sequent or a premiss P_i of R

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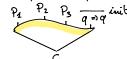
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R is admissible: If each premiss P_j is derivable in SC, then C is also derivable in SC.



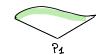
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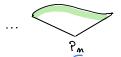
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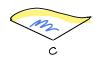
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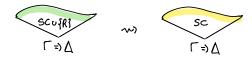
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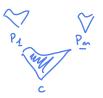




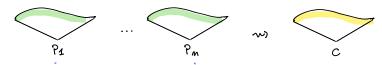
R is eliminable from $\underline{SC} \cup \{R\}$: Every derivation in $SC \cup \{R\}$ can be transformed in a derivation in SC



Derivable, admissible, eliminable rule



▶ If R is derivable in SC then R is admissible in SC



▶ If R is eliminable in $SC \cup \{R\}$ then R is admissible in SC

Cut elimination and consistency

R is analytic: If every formula occurring in the premisses of *R* is a subformula of some formula occurring in the conclusion.

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$$\xrightarrow{\Gamma} \underbrace{A \to A, A \quad B, \Gamma \Rightarrow \Delta}_{\text{cut}} \qquad \underbrace{\Gamma \Rightarrow \Delta A \quad A, \Gamma' \Rightarrow \Delta'}_{\text{Cut}}$$

Cut elimination and consistency

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$$\underset{\rightarrow L}{\xrightarrow{\Gamma \Rightarrow \Delta, A}} \xrightarrow{B, \Gamma \Rightarrow \Delta} \qquad \text{cut} \frac{\Gamma \Rightarrow \Delta, A \quad A, \Gamma' \Rightarrow \Delta'}{\Gamma, \Gamma' \Rightarrow \Delta, \Delta'}$$

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Cut elimination and consistency

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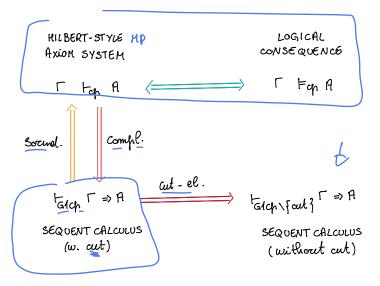
$$\underset{\vdash}{\neg_{\mathsf{L}}} \frac{\Gamma \Rightarrow \Delta, A \quad B, \Gamma \Rightarrow \Delta}{A \to B, \Gamma \Rightarrow \Delta} \qquad \mathsf{cut} \frac{\Gamma \Rightarrow \Delta, A \quad A, \Gamma' \Rightarrow \Delta'}{\Gamma, \Gamma' \Rightarrow \Delta, \Delta'}$$

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- If we don't have cut, we can prove that CPL is consistent

Theorem (Cut). Every derivation in **G1cp** (Cut) can be transformed into a derivation in **G1cp**. (Cut)



Roadmap



G3-style sequent calculus



Removing the structural rules from G1cp

Sequent: $\Gamma \Rightarrow \Delta$, for Γ and Δ lists of formulas

$$\begin{array}{c} \inf \overline{\rho \Rightarrow \rho} \\ \\ A_{i}, \Gamma \Rightarrow \Delta \\ \\ A_{1} \land A_{2}, \Gamma \Rightarrow \Delta \\ \\ A \lor B, \Gamma \Rightarrow \Delta \\ \\ A \to B, \Gamma \Rightarrow \Delta \\ \\ A \to B, \Gamma \Rightarrow \Delta \\ \\ A \to A, R \to \Delta \\ \\ A \to B, \Gamma \Rightarrow \Delta \\ \\ A \to B, \Gamma \Rightarrow$$

Removing the structural rules from G1cp

$$1,9,9 \neq 1,9 = 9,1$$

Sequent: $\Gamma \Rightarrow \Delta$, for Γ and Δ lists of formulas Λ , $q, q \neq h$, q = q, h multiplicity (# of occumence) multiplicity, but the order

matter

 $\frac{1}{\alpha \Leftrightarrow \alpha}$

 $\bigvee_{L} \frac{A, \Gamma \Rightarrow \Delta \quad B, \Gamma \Rightarrow \Delta}{A \lor B, \Gamma \Rightarrow \Delta} \qquad \bigvee_{R}^{j} \frac{\Gamma \Rightarrow \Delta, A_{i}}{\Gamma \Rightarrow \Delta, A_{1} \lor A_{2}} i \in \{1, 2\}$

 $\begin{array}{c|c} \Gamma \Rightarrow \Delta \\ \hline w_{K_L} \hline A, \Gamma \Rightarrow \Delta \\ \hline A, \Gamma \Rightarrow \Delta \\ \hline \end{array} \quad \begin{array}{c} w_{K_R} \hline \Gamma \Rightarrow \Delta \\ \hline \Gamma \Rightarrow \Delta, A \\ \hline \end{array} \quad \begin{array}{c} ctr_L \hline A, A, \Gamma \Rightarrow \Delta \\ \hline A, \Gamma \Rightarrow \Delta \\ \hline \end{array} \quad \begin{array}{c} ctr_R \hline \Gamma \Rightarrow \Delta, A, A \\ \hline \Gamma \Rightarrow \Delta, A \\ \hline \end{array} \quad \begin{array}{c} \Gamma \Rightarrow \Delta, A, B, \Gamma \\ \hline \end{array} \quad \begin{array}{c} ctr_R \hline \Gamma \Rightarrow \Delta, A, A \\ \hline \end{array} \quad \begin{array}{c} \Gamma \Rightarrow \Delta, A, A \\ \hline \end{array} \quad \begin{array}{c} ctr_R \hline \Gamma \Rightarrow \Delta, A, A \\ \hline \end{array} \quad \begin{array}{c} \Gamma \Rightarrow \Delta, A, A \\ \hline \end{array} \quad \begin{array}{c} Ctr_R \hline 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Sequent:
$$\Gamma \Rightarrow \Delta$$
, for Γ and Δ lists of formulas
$$\begin{array}{c} \underset{\text{multiplicity}}{\text{multiplicity}} : \text{ sets } \text{ where the } \\ \underset{\text{multiplicity}}{\text{multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{mothers}}{\text{multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{mothers}}{\text{multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{mutters}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{mothers}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{des multiplicity}}{\text{des multiplicity}} (\# \circ f \text{ occumence}) \\ \underset{\text{mothers}}{\text{des multiplicity$$

Sequent:
$$\Gamma \Rightarrow \Delta$$
, for Γ and Δ lists of formulas multiplicity (# of occurrence) also occurrence) multiplicity (# of occurrence) multiplicity (# of occurrence) also occurrence) multiplicity (# of occurrence) also occurrence occurrence) multiplicity (# of occurrence) also occurrence occurrence) accurrence occurrence occurre

Sequent:
$$\Gamma \Rightarrow \Delta$$
, for Γ and Δ lists of formulas $\begin{array}{c} \underset{\text{multirets}}{\text{multirets}} : \text{ sets} \quad \text{where the} \\ \underset{\text{multirety}}{\text{multirety}} : \underset{\text{multirety}}{\text{the order}} \\ \underset{\text{does not}}{\text{mother}} \\ \underset{\text{for all of the order}}{\overset{\text{init}}{\Gamma}} \xrightarrow{\rho \Rightarrow \rho_r} \Delta \\ \underset{\text{for all of the order}}{\overset{\text{init}}{\Gamma}} \xrightarrow{\rho \Rightarrow \rho_r} \Delta \\ \underset{\text{for all of the order}}{\overset{\text{init}}{\Gamma}} \xrightarrow{\rho \Rightarrow \rho_r} \Delta \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for all of the order}}{\overset{\text{for all of the order}}}} \xrightarrow{\text{for all of the order}} \\ \underset{\text{for a$

Sequent:
$$\Gamma \Rightarrow \Delta$$
, for Γ and Δ lists of formulas multiplicity (# of occurrences) multiplic

Sequent calculus G3cp

Sequent: $\Gamma \Rightarrow \Delta$, for Γ and Δ multisets of formulas

$$\begin{array}{c} \operatorname{init} \overline{p, \Gamma \Rightarrow \Delta, p} \\ A, B, \Gamma \Rightarrow \Delta \\ A \land B, \Gamma \Rightarrow \Delta \\ \bigvee_{L} \frac{A, \Gamma \Rightarrow \Delta}{A \land B, \Gamma \Rightarrow \Delta} \\ \bigvee_{L} \frac{A, \Gamma \Rightarrow \Delta}{A \lor B, \Gamma \Rightarrow \Delta} \\ \longrightarrow_{L} \frac{\Gamma \Rightarrow \Delta, A \land B}{A \Rightarrow B, \Gamma \Rightarrow \Delta} \\ \longrightarrow_{L} \frac{A, \Gamma \Rightarrow \Delta}{A \Rightarrow B, \Gamma \Rightarrow \Delta} \\ \longrightarrow_{L} \frac{A, \Gamma \Rightarrow \Delta}{A \Rightarrow B, \Gamma \Rightarrow \Delta} \\ \longrightarrow_{L} \frac{A, \Gamma \Rightarrow \Delta}{A \Rightarrow B, \Gamma \Rightarrow \Delta} \\ \longrightarrow_{R} \frac{A, \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \Rightarrow B} \\ \longrightarrow_{R} \frac{A, \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \Rightarrow B}$$

Example

G3 ch 61 cm

$$\inf_{\substack{\text{wk}_{L} \\ \text{p}, q \Rightarrow q \\ \\ \land_{R}}} \frac{q \Rightarrow q}{p, q \Rightarrow q} \xrightarrow{\substack{\text{wk}_{L} \\ \text{ex}_{L} \\ \\ p, q \Rightarrow p \\ \\ p, q \Rightarrow p}} \frac{q, p \Rightarrow p}{p, q \Rightarrow p}$$

$$\frac{p, q \Rightarrow q \land p}{p \land q, q \Rightarrow q \land p}$$

$$\frac{\text{ex}_{L}}{q, p \land q \Rightarrow q \land p}$$

$$\frac{\text{ctr}_{L}}{p \land q, p \land q \Rightarrow q \land p}$$

$$\frac{\text{ctr}_{L}}{p \land q \Rightarrow q \land p}$$

$$\Rightarrow (p \land q) \rightarrow (q \land p)$$

$$\lim_{\substack{\text{wk} \\ \text{p,} \ q \Rightarrow q}} \frac{q \Rightarrow q}{p, q \Rightarrow q} \xrightarrow{\substack{\text{wk} \\ \text{ex} \\ p, q \Rightarrow p}} \frac{q \Rightarrow p}{p, q \Rightarrow p} \qquad \lim_{\substack{\text{ex} \\ \text{p,} \ q \Rightarrow p}} \frac{p, q \Rightarrow q \land p}{p, q \Rightarrow p} \qquad \lim_{\substack{\text{for all } \\ \text{ex} \\ \text{ex} \\ \text{oth}}} \frac{p, q \Rightarrow q \land p}{p \land q, p \land q \Rightarrow q \land p} \qquad \lim_{\substack{\text{for all } \\ \text{possible} \\ \text{ex} \\ \text{possible} \\ \text{possible}$$

Structural properties of G3cp

SC sequent calculus (set of rules) and R rule ($n \ge 0$): $R = \frac{P_1 \dots P_n}{C}$

R is height-preserving admissible (hp-admissible): If each premiss P_i is derivable in SC with derivations of height of at most h, then C is also derivable in SC with a derivation of height of at most h.



Lemma (Weakening). The weakening rules are hp-admissible in **G3cp**.

Lemma (Contraction). The contraction rules are hp-admissible in **G3cp**.

Theorem (Cut). The cut rule is admissible in **G3cp**.

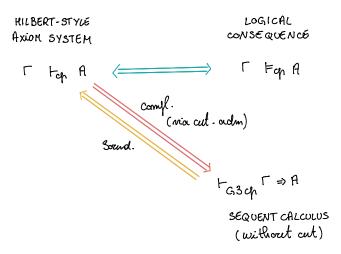
Soundness and completeness

$$i(\Gamma \Rightarrow \Delta) = \bigwedge \Gamma \rightarrow \bigvee \Delta$$

Theorem (Soundness). If $\vdash_{\mathsf{G3cp}} \Gamma \Rightarrow \Delta$ then $\vdash_{\mathcal{H}cp} i(\Gamma \Rightarrow \Delta)$.

Theorem (Completeness). If $\Gamma \vdash_{\mathcal{H}cp} A$ then $\vdash_{\mathsf{G3cp}} \Gamma \Rightarrow A$.

Roadmap



Invertibility

SC sequent calculus (set of rules) and
$$R$$
 rule ($n \ge 0$): $R = \frac{P_1 \dots P_n}{C}$

R is (height-preserving) invertible: If C is derivable in SC (with a derivation of height at most h), then every premiss P_i is derivable in SC (with a derivation of height at most h).

Invertibility

SC sequent calculus (set of rules) and R rule $(n \ge 0)$: $R = \frac{P_1 \dots P_n}{C}$

R is (height-preserving) invertible: If C is derivable in SC (with a derivation of height at most h), then every premiss P_i is derivable in SC (with a derivation of height at most h).

Example

mot
inv.!
$$\stackrel{\wedge^1}{=} \frac{A, \Gamma \Rightarrow \Delta}{A \land B, \Gamma \Rightarrow \Delta}$$
 $\stackrel{\wedge^1}{=} \frac{A, B, \Gamma \Rightarrow \Delta}{A \land B, \Gamma \Rightarrow \Delta}$
 $\stackrel{\wedge^1}{=} \frac{A, B, \Gamma \Rightarrow \Delta}{A \land B, \Gamma \Rightarrow \Delta}$

Invertibility

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 rule $(n \ge 0)$: $R = \frac{P_1 \dots P_n}{C}$

R is (height-preserving) invertible: If C is derivable in SC (with a derivation of height at most h), then every premiss P_i is derivable in SC (with a derivation of height at most h).

Example

$$^{\wedge_{L}^{1}} \frac{A, \Gamma \Rightarrow \Delta}{A \land B, \Gamma \Rightarrow \Delta} \qquad ^{\wedge_{L}} \frac{A, B, \Gamma \Rightarrow \Delta}{A \land B, \Gamma \Rightarrow \Delta}$$

Lemma (Invertibility). All the rules of **G3cp** are hp-invertible.

Invertibility

SC sequent calculus (set of rules) and R rule ($n \ge 0$): $R = \frac{P_1 \dots P_n}{C}$

R is (height-preserving) invertible: If C is derivable in SC (with a derivation of height at most h), then every premiss P_i is derivable in SC (with a derivation of height at most h).

Example

$$\wedge_{L}^{1} \frac{A, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta} \qquad \wedge_{L} \frac{A, B, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta}$$

Lemma (Invertibility). All the rules of **G3cp** are hp-invertible.

Why is invertibility important?

Invertibility

SC sequent calculus (set of rules) and R rule ($n \ge 0$): $R = \frac{P_1 \dots P_n}{C}$

R is (height-preserving) invertible: If C is derivable in SC (with a derivation of height at most h), then every premiss P_i is derivable in SC (with a derivation of height at most h).

Example

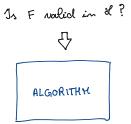
$$\wedge_{L}^{1} \frac{A, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta} \qquad \wedge_{L} \frac{A, B, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta}$$

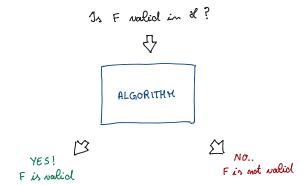
Lemma (Invertibility). All the rules of **G3cp** are hp-invertible.

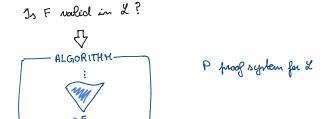
Why is invertibility important? Interlude: decision procedures

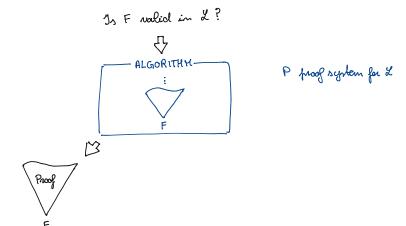
Decision procedures and proof theory

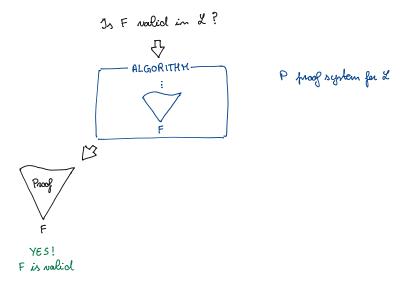
Is Fradial in &?

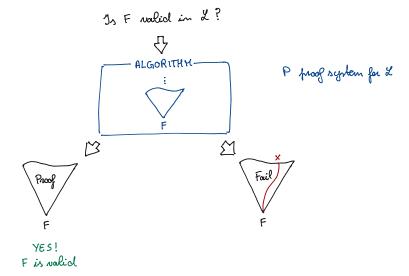




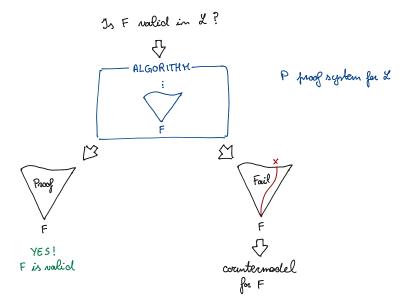




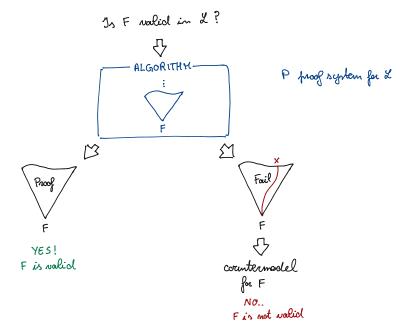




Decision procedures and proof theory



Decision procedures and proof theory



Desirable properties for proof search

- - wy guaranteed by analyticity, and by the fact that the rules reduce the complexity of sequents
- Decision procedure by a single proof-search tree: it suffices to construct *one* derivation tree to check for derivability
 - → guaranteed by invertibility of all the rules
 - Countermodel construction from (a leaf of) a failed branch
 - → read the formulas in the antecedent as "true", and those in the consequent as "false"

Summing up

	fml. interpr.	invertible rules	analyti- city	termination proof search		modu- larity
G3cp	yes	yes	yes	yes, easy!	yes, easy!	n/a

G3-style sequent calculus for modal logic?



The S5 cube of modal logics

$$A ::= p \mid \bot \mid A \land A \mid A \lor A \mid A \rightarrow A \mid \underline{\square} A \mid \Diamond A$$

The S5 cube of modal logics

$$A ::= p \mid \bot \mid A \land A \mid A \lor A \mid A \rightarrow A \mid \Box A \mid \Diamond A$$

The S5 cube of modal logics

$$A ::= p \mid \bot \mid A \land A \mid A \lor A \mid A \rightarrow A \mid \Box A \mid \Diamond A$$

dual
$$\Diamond A \leftrightarrow \neg \Box \neg A$$

$$\mathsf{k} \qquad \Box (\mathsf{A} \to \mathsf{B}) \to (\Box \mathsf{A} \to \Box \mathsf{B})$$

$$\operatorname{nec} \frac{A}{\Box A}$$

$$d \square A \rightarrow \Diamond A$$

$$t \square A \rightarrow A$$

b
$$A \rightarrow \Box \Diamond A$$

4
$$\Box A \rightarrow \Box \Box A$$

$$5 \Leftrightarrow A \rightarrow \Box \diamondsuit A$$

The S5 cube of modal logics

$$A ::= p \mid \bot \mid A \land A \mid A \lor A \mid A \rightarrow A \mid \Box A \mid \Diamond A$$

dual
$$\Diamond A \leftrightarrow \neg \Box \neg A$$

k $\Box (A \to B) \to (\Box A \to \Box B)$
 $\frac{A}{\Box A}$

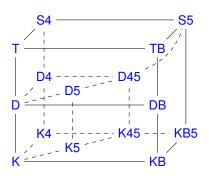
$$d \square A \rightarrow \Diamond A$$

$$t \square A \rightarrow A$$

b
$$A \rightarrow \Box \Diamond A$$

$$4 \square A \rightarrow \square \square A$$

$$5 \Leftrightarrow A \rightarrow \Box \diamondsuit A$$



The S5 cube of modal logics

$$A ::= p \mid \bot \mid A \land A \mid A \lor A \mid A \rightarrow A \mid \Box A \mid \Diamond A$$

 $\mathcal{H}K$: axioms and rules from $\mathcal{H}cp$, plus:

dual
$$\Diamond A \leftrightarrow \neg \Box \neg A$$

k $\Box (A \to B) \to (\Box A \to \Box B)$
 $\frac{A}{\Box A}$

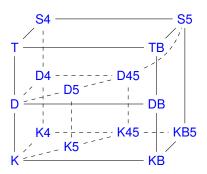
$$d \square A \rightarrow \Diamond A$$

$$t \square A \rightarrow A$$

b
$$A \rightarrow \Box \Diamond A$$

4
$$\Box A \rightarrow \Box \Box A$$

$$5 \Leftrightarrow A \rightarrow \Box \diamondsuit A$$



 $\Gamma \vdash A \rightsquigarrow A$ is derivable from Γ in $\mathcal{H}K$

For $X \subseteq \{d, t, b, 4, 5\}$, $\Gamma \vdash_X A \rightsquigarrow A$ is derivable from Γ in $\mathcal{H}K \cup X$

Kripke models

$$\mathcal{M} = \langle \mathbf{W}, \mathbf{R}, \mathbf{v} \rangle$$

- W non-empty set of elements (worlds)
- R binary relation on W (accessibility relation)
- \triangleright *v* valuation function $W \longrightarrow \mathcal{P}(Atm)$

Name	Axiom	Frame condition			
d	$\Box A \rightarrow \Diamond A$	Seriality	∀x∃y(xRy)		
t	$\Box A \rightarrow A$	Reflexivity	∀x(xRx)		
b	$A \rightarrow \Box \Diamond A$	Symmetry	$\forall x \forall y (xRy \rightarrow yRx)$		
4	$\Box A \rightarrow \Box \Box A$	Transitivity	$\forall x \forall y \forall z ((xRy \land yRz) \rightarrow xRz)$		
5	$\Diamond A \to \Box \Diamond A$	Euclideaness	$\forall x \forall y \forall z ((xRy \land xRz) \rightarrow yRz)$		

Notation. For $X \stackrel{\subseteq}{\bullet} \{d, t, b, 4, 5\}$ We denote by X the class of all models satisfying all conditions corresponding to the axioms of the logic X

Soundness and completeness

```
Satisfiability \mathcal{M}, w \Vdash A
                  \mathcal{M}, w \Vdash p iff p \in v(w)
                                     (..)
               \mathcal{M}, w \Vdash \Box A iff for all u s.t. wRu, u \Vdash A
               \mathcal{M}. w \Vdash \Diamond A iff
                                             there exists u s.t. wRu and u \Vdash A
Validity in a model
                                                 \mathcal{M} \models A iff for all w \in \mathcal{M}, \mathcal{M}, w \models A
Validity in a class of models \models_X A iff for all M \in X, M \models A
Logical consequence
                               for all \mathcal{M} \in \mathcal{X}, for all w \in \mathcal{M}.
            \Gamma \models_{\mathcal{X}} A iff
```

Theorem. $\Gamma \vdash_X A$ if and only if $\Gamma \models_X A$ [Blackburn de Rijke, Venema, 2001]

if $\mathcal{M}, w \Vdash B$ for all $B \in \Gamma$, then $\mathcal{M}, w \Vdash A$

Sequent calculi for (some) modal logics

For simplicity, we define the rules for the □-only fragment. Some references: [Ohnishi, Matsumoto, 1957], [Fitting, 1983], [Takano, 1992]

Sequent calculi for (some) modal logics

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G3K = G3cp +
$$(\Gamma, \square B_1, \dots, \square B_n) \Rightarrow \square A (\triangle)$$
 for $n \ge 0$

Sequent calculi for (some) modal logics

For simplicity, we define the rules for the □-only fragment.

Some references: [Ohnishi, Matsumoto, 1957], [Fitting, 1983], [Takano, 1992]

G3K = **G3cp** +
$$k \frac{B_1, \dots, B_n \Rightarrow A}{\Gamma, \square B_1, \dots, \square B_n \Rightarrow \square A, \Delta}$$
 for $n \ge 0$

Notation. Given $\Sigma = B_1, \dots, B_n$ (for $n \ge 0$), let $\Box \Sigma = \Box B_1, \dots, \Box B_n$. The rule k can be written as

$$k \frac{\Sigma \Rightarrow A}{\Gamma, \square \Sigma \Rightarrow \square A, \Delta}$$

Sequent calculi for (some) modal logics

For simplicity, we define the rules for the □-only fragment.

Some references: [Ohnishi, Matsumoto, 1957], [Fitting, 1983], [Takano, 1992]

G3K = **G3cp** +
$$k \frac{B_1, \dots, B_n \Rightarrow A}{\Gamma, \square B_1, \dots, \square B_n \Rightarrow \square A, \Delta}$$
 for $n \ge 0$

Notation. Given $\Sigma = B_1, \dots, B_n$ (for $n \ge 0$), let $\Box \Sigma = \Box B_1, \dots, \Box B_n$. The rule k can be written as

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- $\quad \textbf{Sequent calculus for T: G3K} \cup \{t\}$
- ▶ Sequent calculus for S4: **G3cp** \cup $\{4,t\}$
- ▶ Sequent calculus for S5: **G3cp** ∪ {45, t}

Sequent calculus for K

G3K = **G3cp** +
$$k \frac{\Sigma \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta}$$

Structural properties of G3cp

- Weakening and contraction are hp-admissible
- ► All propositional rules are hp-invertible (but not the rule k)
- Cut is admissible

Theorem (Soundness). If $\vdash_{\mathsf{G3K}} \Gamma \Rightarrow \Delta$ then $\vdash i(\Gamma \Rightarrow \Delta)$.

Theorem (Completeness). If $\Gamma \vdash A$ then $\vdash_{G3K} \Gamma \Rightarrow A$.

Invertibility

G3K = **G3cp** +
$$k \frac{\Sigma \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta}$$

Rule k is not invertible

Invertibility

G3K = **G3cp** +
$$k\frac{\Sigma \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta}$$

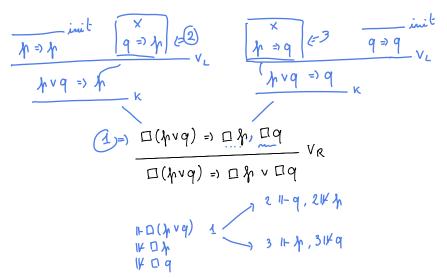
Rule k is not invertible

Consequently:

- One failed proof is not sufficient to ensure non-derivability
- ▶ Hence, in particular, it does not provide a countermodel
- Backward proof-search in G3K requires backtracking

Example

Is the sequent $\Box(p \lor q) \Rightarrow \Box p \lor \Box q$ derivable in **G3K**?



Summing up

	fml. interpr.	invertible rules	analyti- city	termination proof search	counterm. constr.	modu- larity		
G3cp	yes	yes	yes	yes, easy!	yes, easy!	n/a		
G3K	yes	no	yes	yes, easy!	yes, not easy	no		
G355 yes no no no enrich the ntructure of requents nexted requents alculus enrich the language of requents labelled calculus								

End of content for today's lecture!

Questions?

- 1. Show that the axiom $\Box(p \to q) \to (\Box p \to \Box q)$ and the rule $\xrightarrow{\Rightarrow A}$ are derivable in **G3K**.
- We wish to show that G3T is not contraction-free complete [Goré, 1999].
 Sequent calculus G3T adds the following two rules to G3cp:

$$k \frac{\Sigma \Rightarrow A}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Delta} \qquad t \frac{A, \Gamma \Rightarrow \Delta}{\Box A, \Gamma \Rightarrow \Delta}$$

We consider formula $\Box(p \land (\Box p \rightarrow \bot) \rightarrow \bot$, which is valid in T. Show that:

- a) The sequent $\Rightarrow \Box(p \land (\Box p \rightarrow \bot) \rightarrow \bot)$ is derivable in **G3T** $\cup \{ctr_L, ctr_R\}$
- b) The sequent $\Rightarrow \Box(p \land (\Box p \to \bot) \to \bot)$ is not derivable in **G3T** c) If we substitute rule t with the following rule t' in **G3T**, then sequent

$$\Rightarrow \Box(p \land (\Box p \rightarrow \bot) \rightarrow \bot \text{ becomes derivable (without contraction):}$$

$$t' \frac{A, \Box A, \Gamma \Rightarrow \Delta}{\Box A, \Gamma \Rightarrow \Delta}$$

Next, we wish to show that G3S5 is not cut-free complete. Sequent calculus G3S5 adds the following rules to G3cp:

$$t \frac{A, \Gamma \Rightarrow \Delta}{\Box A, \Gamma \Rightarrow \Delta} \qquad 45 \frac{\Box \Sigma \Rightarrow A, \Box \Pi}{\Gamma, \Box \Sigma \Rightarrow \Box A, \Box \Pi, \Delta}$$

We consider formula $p \vee \Box(\Box p \rightarrow \bot)$, which is valid in S5. Show that:

- a) The sequent $p \lor \Box(\Box p \to \bot)$ is derivable in **G3S5** \cup {cut}
- b) The sequent $p \vee \Box(\Box p \rightarrow \bot)$ is not derivable in **G3S5**